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Son Park

Glenn P. Ladwig, Patent Attorney

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Examining Group 1636 Patent Application Docket No. GJE-74

Serial No. 09/913,443

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit

1636

Applicant

Jack Price

Serial No.

09/913,443

Filed

August 14, 2001

Conf. No.

9647

For

Transplantation of Haematopoietic Cells

MS AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. A copy of each cited reference is enclosed. However, the applicant has not submitted a copy of the U.S. patent cited on attached Form PTO/SB/08 pursuant to 37 CFR 1.98(a)(2)(ii).

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first Office Action on the merits, but before the mailing of a final action or the notice of allowance. Please charge the fee of \$180.00 to Deposit Account No. 19-0065.

 $J:\GJE\74\PTO\SIDS4.pto.doc/DNB/mv$

The applicant respectfully asserts that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statements.

Respectfully submitted,

Glenn P. Ladwig Patent Attorney

Registration No. 46,853

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GPL/mv

Attachments: Form PTO/SB/08 (2 pages); copies of some references cited therein.

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

2

Complete if Known		
Application Number 09/913,443		
Filing Date	August 14, 2001	
First Named Inventor	Jack Price	
Art Unit	1636	
Examiner Name	Celine X. Qian	
Attorney Docket Number	GJE-74	

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	U1	US-5,588,692	11-18-1997	Jat et al.	All
	U2	US-			
	U3	US-			
	U4	US-			
	U5	US-			
	Ų6	US-			
	U7	US-			
	U8	US-			
	U9	US-			

	FOREIGN PATENT DOCUMENTS						
	\top	Foreign Patent Document	Publication Date Name of Patentee or MM-DD-YYYY Applicant of Cited Document	Dublication Date	Name of Patentee or	Pages, Columns, Lines,	
Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)		Where Relevant Passages or Relevant Figures Appear	T ⁶		
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, use 10 to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 UFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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of

2

Complete if Known		
Application Number	09/913,443	
Filing Date	August 14, 2001	
First Named Inventor	Jack Price	
Group Art Unit	1636	
Examiner Name	Celine X. Qian	
Attorney Docket Number	GJE-74	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т	
	R1	COGLE, C.R. et al. "Bone marrow transdifferentiation in brain after transplantation: a retrospective study" Lancet, 2004, 363(9419):1432-1437, abstract.		
	R2	DAADI, M.M. and S. WEISS "Generation of tyrosine hydroxylase-producing neurons from precursors of the embryonic and adult forebrain" <i>J. Neuroscience</i> , 1999, 19(11):4484-4497.		
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···	R6	HESS, D.C. et al. "Bone marrow as a source of endothelial cells and NeuN-expressing cells after stroke" Stroke, 2002, 33:1362-1368.		
	R7	JAT, P.S. et al. "Direct derivation of conditionally immortal cell lines from an H-2Kb-tsA58 transgenic mouse" <i>Proc. Natl. Acad. Sci. USA</i> , 1991, 88:5096-5100.		
	R8	JAT, P. and SHARP, P. "Cell lines established by a temperature-sensitive simian virus 40 large-T-antigen gene are growth restricted at the nonpermissive temperature" <i>Mol. Cell. Biology</i> , 1989, 9(4):1672-1681.		
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	R10	PRILLER, J. "Can adult bone marrow stem cells help repair the brain?" ACNR, 2004, 3(6):11-13.		
	R11	PRILLER, J. et al. "Targeting gene-modified hematopoietic cells to the central nervous system: use of green fluorescent protein uncovers microglial engraftment" Nature Med., 2001, 7(12):1356-1361.		
	R12			
	R13			

Examiner	Date	
	Considered	
Signature	Considered	

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